

**UNITED STATES PATENT & TRADEMARK OFFICE**

Examiner: Tuyen Kim Vo Art Unit: 2876  
Applicant: Frederick R, Kettinger  
Serial No.: 10/560,368  
Filed: April 20, 2006  
For: **PHARMACEUTICAL DOSAGE FORMS HAVING OVERT  
AND COVERT MARKINGS FOR IDENTIFICATION AND  
AUTHENTICATION**

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

December 17, 2007

Sir:

Pursuant to M.P.E.P. §609 and 37 C.F.R. §§1.56, 1.97-1.99, Applicants herewith submit forms PTO-1449, which lists references of which Applicants are aware for the application.

This submission does not represent that a search has been made or that no better art exists. While the term "references" is used in citing the documents called to the Examiner's attention herein, Applicants do not make any admission that each or all of them are "prior art" references within the meaning of the statutory and case law.

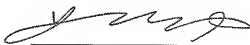
Applicants reserve the right to contend, where appropriate, that a reference asserted against any claim of the present application is not prior art under the facts and the law. Applicants also reserve the right to present appropriate arguments and/or evidence to establish patentability over the references, should one or more of the references be applied against the claims of the present application.

Applicants request that the Examiner independently determine those items which the Examiner would consider the most pertinent of all the references cited herein.

This Information Disclosure Statement is filed with a proper fee of \$180 paid concurrently online. Accordingly, this document complies with the requirements of 37 C.F.R. Section 1.97(c). It is respectfully requested that the references cited in the accompanying PTO-1449 form be considered and made of record.

Respectfully submitted,

LUCAS & MERCANTI, LLP



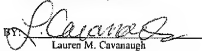
Yaodong Chen L0267

LUCAS & MERCANTI, LLP  
475 Park Avenue South  
New York, NY 10016  
Phone: 212-661-8000  
Fax: 212-661-8002

**CERTIFICATE OF ELECTRONIC TRANSMISSION**

I hereby certify that this document is being electronically transmitted to the Commissioner for Patents via EFS-Web on December 18, 2007.

LUCAS & MERCANTI, LLP

BY   
Lauren M. Cavanaugh